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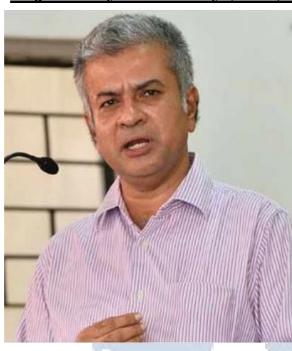
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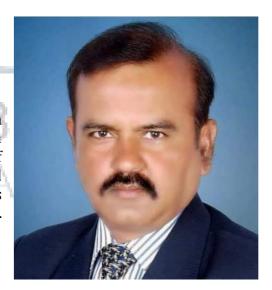


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ABOUT US

WHITE BLACK LEGAL is an open access, peer-reviewed and refereed journal providededicated to express views on topical legal issues, thereby generating a cross current of ideas on emerging matters. This platform shall also ignite the initiative and desire of young law students to contribute in the field of law. The erudite response of legal luminaries shall be solicited to enable readers to explore challenges that lie before law makers, lawyers and the society at large, in the event of the ever changing social, economic and technological scenario.

With this thought, we hereby present to you

WHITE BLACK LEGAL

EFFECTIVENESS OF MEDIATION AND ARBITRATION

AS ALTERNATIVE DISPUTE RESOLUTION METHODS

AUTHORED BY - ARYAN GUPTA

ROLL NO: A3221619055

Alternative Dispute Resolution (ADR) in India: A Comprehensive Overview

Alternative Dispute Resolution (ADR) mechanisms have become indispensable tools for

resolving disputes outside the conventional court system in India. Given the mounting backlog of

cases and delays in the judicial process, there is an increasingly recognized necessity for expedited

and cost-effective dispute resolution mechanisms. This research report undertakes a thorough

exploration of the ADR landscape in India, with a particular focus on mediation, arbitration, Lok

Adalats, and other alternative dispute resolution methods. By employing a combination of

empirical data, case studies, and doctrinal analysis, this report aims to furnish a comprehensive

understanding of the utilization, effectiveness, and challenges associated with ADR mechanisms

in India.

This section delves into the concept and practice of mediation in India, tracing its evolution and

examining its role as a facilitator of consensus-building. Through empirical data and case studies

drawn from various regions, the section explores the efficacy of mediation in resolving disputes

and fostering amicable solutions.

Arbitration, both ad hoc and institutional, occupies a central position in India's dispute resolution

framework. This section analyzes the nuances of ad hoc and institutional arbitration, emphasizing

the balance between party autonomy and the benefits of institutional support. Case studies and

empirical data offer insights into the effectiveness and challenges of arbitration in India.

Lok Adalats, often referred to as people's courts, have emerged as potent instruments for

community- based dispute resolution. This section explores the evolution of Lok Adalats, their

constitutional mandate, and their impact on access to justice. Through case studies and empirical

analysis, the section examines the successes and challenges of Lok Adalats in India.

Beyond mediation, arbitration, and Lok Adalats, India boasts a plethora of alternative dispute

resolution mechanisms. This section provides an overview of conciliation, negotiation, tribunals,

special courts, and consumer forums. Through comparative analysis and case studies, the section evaluates the efficacy and relevance of these diverse alternatives.

This section synthesizes empirical data collected from various sources, including courts, arbitral institutions, and legal practitioners. Through statistical analysis and case studies, it offers insights into the utilization, trends, and outcomes of ADR mechanisms in different regions of India.

Despite the strides made in ADR, numerous challenges persist in India's dispute resolution landscape. This section identifies legal, institutional, and socio-cultural challenges, while also exploring opportunities for innovation and improvement.

Drawing on the findings of the research, this section proposes a set of recommendations for policymakers, legal practitioners, and civil society actors. These recommendations encompass strengthening the legal framework for ADR, enhancing capacity building initiatives, raising public awareness, and promoting institutional reforms.

In conclusion, the report underscores the critical role of ADR mechanisms in India's quest for expedited and cost-effective dispute resolution. It reiterates the importance of embracing a diverse range of ADR methods while addressing the identified challenges through collaborative efforts and innovative reforms.

The report concludes with a comprehensive list of references, including scholarly articles, legal documents, and empirical studies, providing readers with further avenues for exploration.

This expanded research report endeavors to offer a detailed exploration of ADR mechanisms in India, providing policymakers, legal practitioners, researchers, and civil society organizations with valuable insights into their utilization, effectiveness, and challenges. Through empirical data, case studies, and doctrinal analysis, it seeks to contribute to the ongoing discourse on ADR and its role in shaping India's dispute resolution landscape.

Mediation: An Analysis of Effectiveness

In India, the advent of Section 89 of the Civil Procedure Code (CPC) has catapulted mediation into the limelight, urging disputing parties to consider it as a viable avenue for conflict resolution. This section delves into the conceptual underpinnings and practical application of mediation in India, scrutinizing its efficacy both in pre-litigation scenarios and within court-annexed

frameworks. Case studies drawn from Delhi, Bangalore, and Mumbai offer nuanced insights into mediation's effectiveness across diverse landscapes, while simultaneously spotlighting impediments such as enforcement challenges and cultural barriers.

Conceptual Framework of Mediation in India

At its core, mediation in India hinges upon the facilitation of voluntary agreements between disputants, under the guidance of a neutral mediator. Unlike the adversarial nature of courtroom proceedings, mediation fosters a collaborative environment conducive to constructive dialogue and consensus- building. Marked by confidentiality, informality, and adaptability, mediation empowers parties to exert greater control over the resolution process and outcome.

The Role of Section 89 CPC

Section 89 of the Civil Procedure Code (CPC) stands as a pivotal provision in India's legal framework, representing a significant departure from traditional litigation-centric approaches to dispute resolution. Enshrining the principle of alternative dispute resolution (ADR), particularly mediation, Section 89 CPC emphasizes the judiciary's recognition of mediation as a valuable tool for early intervention, cost-saving, and access to justice.

At its core, Section 89 CPC embodies a paradigm shift in the approach to resolving disputes by advocating for the exploration of non-adversarial methods before resorting to formal litigation. Recognizing the limitations of traditional court processes, including delays, costs, and adversarial nature, the provision encourages parties to engage in meaningful dialogue, negotiation, and conciliation to seek mutually acceptable solutions. By doing so, Section 89 CPC aims to promote efficiency, fairness, and expediency in dispute resolution while alleviating the burden on overloaded courts.

The endorsement of mediation by Section 89 CPC underscores its inherent benefits and advantages as a dispute resolution mechanism. Mediation offers parties a confidential, flexible, and collaborative forum to address their grievances with the assistance of a neutral third party, the mediator. By facilitating open communication, identifying common interests, and exploring creative solutions, mediation empowers parties to craft mutually beneficial agreements tailored to their unique needs and circumstances.

Moreover, mediation promotes empowerment and self-determination by allowing parties to

retain control over the outcome of their dispute, thereby fostering a sense of ownership and satisfaction with the resolution process.

Furthermore, Section 89 CPC reflects the judiciary's commitment to promoting access to justice for all stakeholders, irrespective of their socio-economic background or legal sophistication. By encouraging parties to consider mediation and other ADR mechanisms, the provision seeks to democratize access to justice, making it more affordable, inclusive, and responsive to the diverse needs of litigants. Mediation, in particular, offers a low-cost, informal, and user-friendly alternative to traditional litigation, making it accessible to individuals, communities, and businesses alike.

In addition to its procedural benefits, Section 89 CPC embodies a broader ethos of judicial innovation and reform aimed at addressing systemic challenges within the Indian legal system. By promoting a culture of pre-litigation mediation and ADR, the provision signals a departure from adversarial and confrontational approaches towards collaborative problem-solving and conflict resolution. This shift aligns with global trends towards alternative and consensual dispute resolution mechanisms, reflecting India's commitment to embracing best practices and international standards in the administration of justice.

However, despite its transformative potential, the effective implementation of Section 89 CPC faces several challenges and obstacles. These include issues related to awareness and acceptance of mediation among litigants, inadequate infrastructure and training for mediators, and entrenched cultural norms favoring litigation over ADR. Addressing these challenges requires concerted efforts from various stakeholders, including the judiciary, legal profession, government, civil society, and the private sector, to promote awareness, build capacity, and foster a conducive environment for ADR.

In conclusion, Section 89 CPC serves as a cornerstone of India's legal landscape, advocating for the promotion and adoption of mediation and alternative dispute resolution mechanisms as integral components of the justice delivery system. By emphasizing early intervention, cost-saving, and access to justice, the provision reflects a progressive and forward-thinking approach to dispute resolution, rooted in principles of efficiency, fairness, and collaboration. Moving forward, concerted efforts are needed to overcome challenges and leverage the transformative potential of Section 89 CPC to realize its objectives of promoting efficient, accessible, and amicable resolution of disputes in India.

Effectiveness of Mediation: Insights from Case Studies

Examining case studies from Delhi, Bangalore, and Mumbai unveils mediation's multifaceted efficacy in varied contexts within India. In Delhi, the Delhi High Court Mediation and Conciliation Centre has emerged as a bastion of mediation excellence, boasting a track record of successfully mediating intricate disputes across commercial, familial, and civil domains. Similarly, court-annexed mediation programs in Bangalore and Mumbai have witnessed commendable success in facilitating settlements in realms such as commercial contracts, property disputes, and matrimonial discord.

These case studies underscore mediation's potential to navigate complex legal terrain, fostering constructive dialogue and forging mutually agreeable solutions. By providing a platform for parties to voice their concerns, negotiate terms, and craft tailored agreements, mediation transcends traditional adversarial dynamics, empowering disputants to chart their own course towards resolution.

Despite its myriad benefits, mediation in India is not without its challenges. Enforcement of mediated settlements remains a pressing concern, particularly in instances where parties default on agreed-upon terms. Moreover, cultural barriers, limited awareness, and resistance to change pose significant hurdles to widespread mediation adoption. Addressing these challenges necessitates a concerted effort to bolster institutional support, enhance public education, and cultivate a mediation-friendly ecosystem.

In summation, mediation has emerged as a cornerstone of India's dispute resolution framework, offering a flexible and collaborative alternative to traditional litigation. The impetus provided by Section 89 CPC underscores mediation's pivotal role in expediting resolutions, curbing litigation costs, and fostering a culture of cooperation. Through a nuanced analysis of case studies from Delhi, Bangalore, and Mumbai, it is evident that mediation holds immense promise in navigating diverse legal landscapes and fostering amicable settlements.

However, realizing mediation's full potential hinges on addressing enforcement challenges, enhancing cultural sensitivity, and fostering a conducive ecosystem for mediation uptake. As India continues its journey towards judicial reform and access to justice, mediation stands poised to play a central role in reshaping the country's conflict resolution paradigm, ushering in an era of collaboration, consensus, and equitable outcomes.

Arbitration: Evaluating Ad Hoc and Institutional Approaches

Arbitration, encompassing both ad hoc and institutional approaches, occupies a prominent position in India's dispute resolution landscape. This section endeavors to delve into the intricacies of ad hoc arbitration, characterized by parties' autonomy in structuring proceedings, and institutional arbitration, facilitated by established arbitral bodies. Through a meticulous comparative analysis and exploration of case studies, this report aims to illuminate the strengths and weaknesses inherent in both approaches, addressing pertinent issues such as confidentiality, procedural fairness, and enforcement of awards.

Usually in an ad hoc arbitration the parties to the dispute make their own arrangements for the arbitration proceedings. They themselves do selection of arbitrators, designation of rules, applicable law, procedures and administrative support. Ad hoc arbitration is a good method of dispute resolution if the parties approach arbitration with a spirit of cooperation. This may also possibly make the arbitration more flexible, cheaper and faster than its institutional counterpart.

Hope H. Camp Jr., says that an ad hoc arbitration "in its purest sense is a complete agreement between the parties with respect to all aspects of the arbitration, including the law which will be applied, the rules under which the arbitration will be The Indian Council of Arbitration is the first arbitration institution in India established in 1965. ICA in the year 2007 alone received 61 new arbitration matters, out of which 58 were under commercial rules of arbitration and 3 were under maritime arbitration rules. Among this 61 matters 10 were international arbitrations. In the year 2007, ICA settled 63 matters through arbitration. At the end of the year 2007 ICA has 531 matters pending before it.

carried out, the method for the selection of the arbitrator, the place where the arbitration will be held, the language, and finally, and most importantly, the scope and issues to be resolved by means of arbitration."250 Another scholar putting it in common language dubbed ad hoc arbitration as "you pick one, I'll pick one; those two will pick a third, and whatever the three decide is binding upon us."251 In other words in ad hoc arbitration the parties are "on their own" for all the aspects of the case, they must solve the problem of appointing the arbitrators, addressing issues like "objections, compensation, hearing arrangements and award procurement."

Understanding Ad Hoc Arbitration

Ad hoc arbitration stands as a decentralized form of dispute resolution where parties independently orchestrate arbitration proceedings, devoid of institutional supervision or pre-

established procedural frameworks. In this model, disputants wield complete autonomy over pivotal aspects such as arbitrator selection, choice of applicable law, and procedural regulations. This unfettered flexibility enables the customization of dispute resolution mechanisms to precisely match the unique requirements and preferences of involved parties. However, the absence of institutional backing presents challenges, notably in ensuring procedural equity, streamlining proceedings, and enforcing arbitral decisions.

This approach affords parties unparalleled freedom to tailor proceedings according to their specific needs, fostering a sense of ownership and control over the arbitration process. By empowering disputants to shape the arbitration framework in alignment with their preferences, ad hoc arbitration cultivates an environment conducive to collaborative resolution. Yet, the absence of institutional support poses significant hurdles. Without established procedural guidelines or administrative assistance, parties may encounter difficulties in navigating complex legal terrain, ensuring procedural fairness, and enforcing arbitral awards. The lack of standardized procedures may exacerbate delays and inefficiencies, impeding the timely resolution of disputes.

In contrast to institutional arbitration, which benefits from established frameworks and administrative infrastructure, ad hoc arbitration relies solely on the parties' initiative and resources. While this autonomy allows for greater flexibility and customization, it also heightens the risk of procedural inconsistencies, enforcement challenges, and procedural inequities.

In summary, ad hoc arbitration offers parties unparalleled flexibility and autonomy in shaping the dispute resolution process. However, the absence of institutional support necessitates careful consideration of procedural fairness, enforcement mechanisms, and efficiency. Despite these challenges, ad hoc arbitration remains a valuable tool for parties seeking customized and expedited dispute resolution tailored to their unique circumstances and preferences.

Navigating Institutional Arbitration

In contrast, institutional arbitration involves recourse to established arbitral institutions, which administer proceedings in accordance with preset rules and guidelines. These institutions offer a framework for appointing arbitrators, administering evidence, and facilitating the arbitration process. By providing administrative support and procedural guidance, institutional arbitration seeks to streamline proceedings, enhance procedural fairness, and expedite resolution. However, reliance on institutional mechanisms may entail additional costs and procedural formalities,

potentially limiting parties' autonomy and flexibility.

Evaluating Strengths and Weaknesses

A comprehensive assessment of ad hoc and institutional arbitration necessitates an exploration of their respective strengths and weaknesses. Ad hoc arbitration's primary advantage lies in its flexibility, allowing parties to tailor proceedings to suit their unique circumstances and preferences. This autonomy fosters a sense of ownership over the dispute resolution process, potentially enhancing parties' satisfaction and cooperation. However, the absence of institutional support may impede procedural efficiency, exacerbate enforcement challenges, and undermine confidence in the arbitration process.

Conversely, institutional arbitration offers structured frameworks and administrative support, promoting procedural fairness, efficiency, and enforceability of awards. By leveraging institutional expertise and resources, parties can navigate complex disputes with greater ease and confidence. Moreover, the formalized nature of institutional arbitration may enhance the perceived legitimacy and credibility of the process, bolstering parties' trust in the arbitration system. Nonetheless, reliance on institutional mechanisms may entail additional costs and procedural formalities, potentially curtailing parties' autonomy and flexibility.

Case Studies: Unveiling Real-World Dynamics

The utilization of case studies is a fundamental aspect of understanding the practical implications and effectiveness of both ad hoc and institutional arbitration. By delving into real-world scenarios across various sectors and jurisdictions, stakeholders gain valuable insights into the strengths and limitations of each approach. These case studies serve as illustrative examples, shedding light on how arbitration mechanisms function in practice and providing nuanced perspectives on navigating arbitration proceedings effectively.

In examining the efficacy of ad hoc arbitration, case studies offer invaluable insights into its inherent flexibility and autonomy. For instance, a case study involving a contractual dispute between two multinational corporations may highlight how parties leverage ad hoc arbitration to tailor proceedings to their unique circumstances and preferences. By independently selecting arbitrators and determining procedural rules, the parties can expedite resolution and maintain confidentiality while preserving their autonomy throughout the process.

Similarly, case studies in institutional arbitration illuminate the benefits of structured frameworks

and administrative support. For instance, a case study involving a complex commercial dispute may showcase how parties utilize institutional arbitration to access qualified arbitrators, streamline proceedings, and ensure procedural fairness. By adhering to established rules and guidelines, parties can navigate procedural complexities with confidence, minimizing delays and uncertainties.

Comparative case studies provide stakeholders with a comprehensive understanding of the relative merits and drawbacks of ad hoc and institutional arbitration. By examining similar disputes resolved through different arbitration mechanisms, stakeholders can discern which approach best suits their specific needs and preferences. For instance, comparing the timeframes, costs, and outcomes of ad hoc and institutional arbitration in resolving construction disputes may reveal insights into the most effective approach for similar cases in the future.

The following are the merits of mediation centres when compared to other dispute resolution mechanisms in Delhi.218

- 1. Underlying interests, root causes of the dispute between the litigants are being addressed rationally, patiently and neutrally.
- 2. Subtly overcoming the unstated desires involved in litigation, through preservation of harmonious relations, be they commercial or human, between partners, traders, brothers, sisters, spouses, neighbors.
- 3. The reality of a trial attendant with its technicalities, delays, and its cost are mentally assimilated.
- 4. The positive/post-mediation attitude of parties towards each other.

The Study reveals that, mediation, as a dispute resolution technique in the two metropolitan cities of Delhi and Bangalore is in its infancy. Success is definitely evident at these mediation centres, though not strong enough to bring down the huge backlog of cases pending in different courts. It could prove to be very effective in wiping out arrears if some changes are effected. Defects like, lack of public awareness, absence of quality training programmes, lack of supporting academic research and more importantly lack of efficient leadership should be immediately addressed so that the initial success rate is maintained if not enhanced. It is also necessary that similar initiatives be taken up all across the country including Mumbai. There has to be a co-ordinated effort based upon a forward-looking policy.

Another assumption that could be made on the basis of rating the initial success of mediation

centres at Delhi and Bangalore is that the success of mediation greatly depends on institutionalizing it.238 When the efforts of mediation are made on ad hoc basis, there is a danger that its importance gets unnoticed due to the lack of effective supervision and documentation. A mediation institution could be established in the country, with larger objectives and scope so that the alternative methods of mediation are made more effective in resolving disputes of all kinds.

Moreover, case studies facilitate knowledge-sharing and best practices dissemination among practitioners and policymakers. By analyzing successful arbitration outcomes and identifying common challenges, stakeholders can develop strategies to optimize the efficiency and effectiveness of arbitration

mechanisms. For instance, a case study highlighting successful mediation outcomes in resolving family disputes may inform the development of targeted mediation programs for similar cases in other jurisdictions.

In conclusion, case studies play a pivotal role in elucidating the practical implications of ad hoc and institutional arbitration. By drawing on real-world scenarios, stakeholders gain valuable insights into the strengths and limitations of each approach, enabling them to make informed decisions tailored to their specific needs and preferences. Ultimately, fostering a diverse and inclusive arbitration ecosystem that accommodates both ad hoc and institutional approaches is essential for promoting access to justice, efficiency, and fairness in dispute resolution.

Lok Adalats: People's Court and Access to Justice

Lok Adalats, or people's courts, have been instrumental in providing access to justice for millions of Indians. This section traces the evolution of Lok Adalats in India, examining their legal framework, success stories, and challenges. Empirical data and case studies shed light on the role of Lok Adalats in reducing backlog and fostering community-based dispute resolution, while also addressing criticisms and areas for improvement.

Summarizing the general views on ad hoc arbitration by various experts its greatest drawback is that it usually does not have a set of pre-established rules that are applicable. The parties' can either create and adopt their own set of rules or may also adopt some pre-existing set of rules elaborated by an arbitral institution or by an international organization, such as UNCITRAL. This flexibility is definitely a positive aspect of ad hoc arbitration, but can bring along with it certain potential complications as well.

Another problem that came up during the course of research was that it is at present 'over legalized' by adhering to procedural technicalities. Some of them stated that even though it is over lawyered the quality of these arbitrators has drastically come down. These arbitrators do not have sufficient qualification, training and know- how in arbitration. There was also a view that very few people with non- law back ground turned out to be arbitrators, particularly with engineering qualification. The fact that majority of the arbitrators in India with law background brings along with them over emphasis on the procedural law which slow down the entire dispute resolution process. It was also pointed out that some of arbitrators do not have sufficient knowledge and expertise in arbitration.

250 Hope H. Camp Jr. "Binding Arbitration: A Preferred Alternative for Resolving Commercial Disputes Between Mexican and U.S." Businessmen Saint Mary's Law Journal 1991.

251Garry Arkin, "New Opportunities for Arbitration in East/West Trade Transnational Lawyer" Practitioner's Perspective 1990.

252 William K. Slate, "International Arbitration: Do Institutions Make a Difference, Business Law Symposium Commercial Arbitration: A discussion of recent developments and trends" Wake Forest Law Review 1996.

The empirical study also reveals that one of the greatest drawbacks of the ad hoc arbitration in India is the fact that, retired judges who come as arbitrators bring with them their tendency of emphasizing on complying with the procedural formalities and strict adherence to law of evidence. Some of the experts, on the specific condition of keeping their identity anonymous, opined that the habit of adjourning the cases for maximum number of times is usually done by the retired judges acting as arbitrators when compared to other arbitrators.

Other Forms of ADR in India

In addition to mediation, arbitration, and Lok Adalats, India's alternative dispute resolution (ADR) landscape encompasses various other mechanisms aimed at facilitating efficient and effective resolution of disputes. This section provides a comprehensive overview of conciliation, negotiation, tribunals, special courts, and consumer forums, analyzing their effectiveness and relevance within the Indian context. Through empirical insights and comparative analysis, stakeholders gain valuable insights into the strengths and limitations of these alternative mechanisms.

Conciliation serves as a collaborative process wherein a neutral third party assists disputing parties in reaching a mutually acceptable resolution. Unlike mediation, the conciliator may actively propose solutions and facilitate communication between parties. This approach is particularly effective in disputes where parties seek more active involvement from the third party and desire assistance in generating settlement options.

Negotiation, on the other hand, involves direct discussions between parties aimed at reaching a voluntary agreement. While negotiation is the most informal form of ADR, it remains a widely used method for resolving disputes in various contexts, including commercial transactions, employment matters, and family disputes. Negotiation allows parties to retain full control over the resolution process and can be particularly effective when parties maintain a cooperative and collaborative approach.

Tribunals and special courts are specialized adjudicatory bodies established to resolve specific categories of disputes swiftly and efficiently. These forums often possess expertise in specific areas of law and are tasked with adjudicating disputes within their respective domains. Examples include labor tribunals, tax tribunals, and environmental courts, which provide specialized forums for resolving disputes arising in these areas.

Consumer forums, also known as consumer dispute redressal commissions, play a crucial role in resolving consumer disputes and upholding consumer rights. These forums are empowered to adjudicate disputes between consumers and businesses, providing a cost-effective and accessible mechanism for consumers to seek redressal for grievances such as defective products, deficient services, or unfair trade practices.

Empirical insights and comparative analysis offer valuable perspectives on the effectiveness and relevance of these alternative mechanisms. By examining factors such as efficiency, accessibility, and procedural fairness, stakeholders can assess the suitability of different ADR methods for resolving specific types of disputes. Additionally, comparative analysis allows for the identification of best practices and areas for improvement within the Indian ADR landscape.

In conclusion, conciliation, negotiation, tribunals, special courts, and consumer forums represent valuable additions to India's alternative dispute resolution toolkit. By offering diverse and specialized forums for resolving disputes, these mechanisms contribute to the promotion of access to justice, efficiency, and fairness in dispute resolution. Through empirical research and

comparative analysis, stakeholders can continue to refine and optimize these alternative mechanisms to better serve the needs of India's diverse population.

Empirical Data and Case Studies

The research report employs a multifaceted approach, incorporating empirical data and case studies to conduct a thorough analysis of alternative dispute resolution (ADR) mechanisms in India. By synthesizing data gathered from diverse sources such as courts, arbitral institutions, and legal practitioners, the report offers valuable insights into the utilization, trends, and outcomes of ADR across different regions of India. Case studies further enrich the analysis by presenting real-world examples of successful dispute resolution through mediation, arbitration, and Lok Adalats, thereby providing actionable insights for policymakers and practitioners alike.

Empirical data serves as the cornerstone of the research report, providing a quantitative foundation for understanding the landscape of ADR in India. Through rigorous data collection and analysis, the report captures key metrics such as utilization rates, settlement outcomes, and satisfaction levels among stakeholders. This empirical evidence offers valuable insights into the effectiveness and efficiency of various ADR mechanisms, allowing stakeholders to identify trends, challenges, and areas for improvement.

Complementing the empirical data, case studies offer qualitative insights into the practical application of ADR mechanisms in real-world scenarios. By examining specific disputes resolved through mediation, arbitration, and Lok Adalats, the case studies provide nuanced perspectives on the challenges and opportunities inherent in ADR implementation. These real-life examples illustrate the benefits of ADR in terms of expedited resolution, cost savings, and preservation of relationships between parties.

One of the key challenges outlined in the report is the legal and institutional hurdles that hinder the seamless adoption of ADR mechanisms in India. These challenges include regulatory complexities, lack of institutional infrastructure, and inconsistencies in enforcement mechanisms. By navigating these challenges, India can unlock the full potential of ADR to enhance access to justice and alleviate the burden on traditional court systems.

Furthermore, the report highlights socio-cultural factors that influence the efficacy of ADR in India. Cultural attitudes towards dispute resolution, language barriers, and perceptions of fairness can impact the acceptance and effectiveness of ADR mechanisms. Addressing these socio-

cultural dynamics requires a nuanced approach that incorporates cultural sensitivity and community engagement to ensure the inclusivity and effectiveness of ADR initiatives.

Despite these challenges, the report also identifies opportunities for innovation and enhancement in India's dispute resolution terrain. By leveraging technology, promoting ADR awareness and education, and fostering collaboration among stakeholders, India can overcome existing hurdles and optimize ADR mechanisms for greater effectiveness and accessibility.

In conclusion, the research report offers a comprehensive analysis of ADR in India, drawing on empirical data and case studies to provide actionable insights for policymakers, practitioners, and stakeholders. By navigating challenges and embracing opportunities, India can strengthen its dispute resolution landscape and promote access to justice for all segments of society.

Identifying Challenges

In the realm of alternative dispute resolution (ADR) in India, several challenges hinder the seamless operation and widespread adoption of ADR mechanisms. Chief among these challenges are legal impediments, which encompass various complexities within legislative frameworks, enforcement mechanisms, and procedural regulations. Ambiguities in laws governing ADR, coupled with inconsistent enforcement practices, create uncertainty and undermine the effectiveness of ADR mechanisms.

Moreover, procedural complexities pose additional hurdles, making it difficult for parties to navigate the ADR process efficiently and effectively.

Institutional bottlenecks also pose significant challenges to the ADR landscape in India. Resource constraints and capacity limitations within arbitral institutions and mediation centers hinder their ability to handle a large volume of disputes effectively. Limited funding and staffing issues further exacerbate these challenges, limiting the scalability and accessibility of ADR services. As a result, parties may face delays and inefficiencies in accessing ADR mechanisms, detracting from their effectiveness as viable alternatives to traditional litigation.

Additionally, entrenched socio-cultural norms and attitudes towards dispute resolution present formidable obstacles to the widespread acceptance and utilization of ADR methods. In many cases, there exists a prevailing litigation-centric mindset, wherein parties view the formal court system as the primary avenue for resolving disputes. This mindset is perpetuated by societal

perceptions of legitimacy and fairness, which may favor adversarial litigation over consensual ADR processes. Overcoming these deeply ingrained attitudes requires concerted efforts to promote awareness, education, and cultural change regarding the benefits of ADR.

Furthermore, linguistic and cultural barriers can impede effective communication and understanding within ADR proceedings, particularly in diverse and multicultural settings. Language differences may create challenges in conveying complex legal concepts and negotiating mutually acceptable resolutions. Cultural norms and practices may also influence the dynamics of dispute resolution, requiring mediators and arbitrators to navigate cultural sensitivities and ensure inclusivity and fairness throughout the process.

Addressing these challenges requires a multifaceted approach that encompasses legal reforms, institutional strengthening, public awareness campaigns, and cultural sensitivity training. By clarifying legal frameworks, enhancing institutional capacity, and promoting a culture of ADR, India can overcome barriers to effective dispute resolution and foster a more accessible, efficient, and inclusive ADR landscape.

Exploring Opportunities

Despite the challenges confronting India's dispute resolution landscape, several opportunities for innovation and improvement abound, presenting avenues for enhancing the efficacy and accessibility of alternative dispute resolution (ADR) mechanisms.

One such opportunity lies in the realm of technology. By leveraging digital platforms and communication tools, ADR practitioners can overcome geographical barriers and conduct mediation and arbitration proceedings remotely. Virtual mediation platforms, equipped with video conferencing and document sharing capabilities, offer a convenient and efficient means of resolving disputes, particularly in cases where parties are located in different regions or countries. Embracing technology not only enhances the accessibility of ADR mechanisms but also streamlines procedural processes, reducing time and costs associated with traditional in-person proceedings.

Furthermore, public-private partnerships (PPP) present a promising opportunity for bolstering institutional capacities and expanding the reach of ADR services. Collaborative initiatives between government agencies, arbitral institutions, legal practitioners, and civil society organizations can pool resources, expertise, and networks to enhance ADR infrastructure and

outreach efforts. Through PPPs, stakeholders can develop innovative programs and initiatives to raise awareness about ADR, provide training and capacity building for mediators and arbitrators, and facilitate the establishment of ADR centers in underserved areas. By harnessing the collective strengths of public and private sectors, India can effectively address the institutional bottlenecks that hamper the scalability and accessibility of ADR services.

Moreover, community-based ADR initiatives offer a promising avenue for resolving disputes at the grassroots level. Rooted in local customs, traditions, and social structures, community-based ADR mechanisms provide culturally sensitive and context-specific approaches to conflict resolution. Village councils, community elders, and religious leaders often serve as mediators or arbitrators, facilitating dialogue, negotiation, and reconciliation within their respective communities. By empowering communities to resolve disputes autonomously, these initiatives not only alleviate burdens on formal judicial systems but also foster social cohesion and harmony. Embracing community-based ADR models can bridge the gap between formal legal frameworks and grassroots realities, ensuring greater inclusivity and effectiveness in resolving disputes.

Furthermore, initiatives aimed at promoting ADR awareness and education hold promise in changing societal attitudes towards dispute resolution. Public awareness campaigns, school curriculum integration, and community workshops can disseminate information about the benefits and advantages of ADR, encouraging individuals and organizations to consider ADR options before resorting to litigation. By instilling a culture of ADR early on and fostering a deeper understanding of its principles and processes, India can cultivate a more receptive and supportive environment for ADR initiatives across all segments of society.

In conclusion, amidst the challenges confronting India's dispute resolution ecosystem, opportunities for innovation and improvement abound. By leveraging technology, fostering public-private partnerships, embracing community-based ADR initiatives, and promoting ADR awareness and education, India can enhance the accessibility, efficiency, and inclusivity of ADR mechanisms, ultimately advancing access to justice and promoting peaceful resolution of disputes.

Analysis of questionnaire circulated in the three cities

A questionnaire with open-ended questions was circulated among lawyers and arbitrators in the three cities.243 A total number of five hundred respondents answered the questions. Out of these 500 respondents 25% have arbitration experience. Generally, there was a fear element in the minds of lawyers about ADR. Most of the respondents feared that ADR would minimize the

number of case filings in India and would consequentially end up in losing jobs for them. Twenty Five percent of the respondents pointed out the following deficiencies in the arbitration system in India.

- 1. The Arbitration and Conciliation Act, 1996 is not effective and needs changes. (But none of these respondents could give any concrete suggestions for improving the Arbitration and Conciliation Act, 1996.)
- 2. These arbitrators also complained that some times the parties do not cooperate with each other and also with the arbitrators. They said that the parties always look forward to adjourn the matter as many times as possible.

Analysis of roundtable conferences

When compared to questionnaire method which was focused only on lawyers and arbitrators, the roundtable conferences were attended by a mixed group comprising practicing lawyers, arbitrators, judges, academia, litigant and non-litigant public, students etc. The suggestions that were made in these conferences are analysed in the light of other supporting data below.

Ad hoc arbitration in India is not cost effective

In the roundtable conferences that took place at Bangalore and Mumbai it was generally the opinion that ad hoc arbitration in India is not very cost effective. The conferences suggested that the cost of going for normal court litigation is much cheaper when compared to ad hoc arbitration. So generally the opinion was that ad hoc arbitration in India is not a good option nowadays. Indeed, the representatives of industry expressed the view that if the delay were avoided they would prefer ad hoc arbitration to litigation. The tables given below show the fee and other expenses in ad hoc arbitration.

Fostering Collaboration

In the dynamic landscape of alternative dispute resolution (ADR) in India, fostering collaboration among diverse stakeholders is paramount to address challenges, seize opportunities, and advance the efficacy and accessibility of ADR mechanisms. Government bodies, legal institutions, civil society organizations, and private enterprises must join forces, pooling their resources, expertise, and networks to navigate legal complexities, fortify institutional capacities, and promote ADR education and training initiatives.

One of the primary areas where collaboration is essential is in streamlining legislative frameworks governing ADR. Ambiguities and inconsistencies within existing laws pose

significant hurdles to the effective implementation of ADR mechanisms. By fostering dialogue and collaboration between policymakers, legal experts, and stakeholders, India can undertake comprehensive legislative reforms to clarify ADR procedures, enhance enforceability of arbitral awards, and strengthen the legal framework supporting ADR initiatives.

Institutional collaboration is equally crucial in bolstering the infrastructure and capacity of ADR institutions and centers across the country. Government bodies, arbitral institutions, and civil society organizations can collaborate to establish ADR centers in underserved areas, provide training and certification programs for mediators and arbitrators, and develop innovative outreach strategies to raise awareness about ADR among diverse communities. By leveraging the strengths and expertise of each stakeholder, India can enhance the accessibility and effectiveness of ADR services, particularly in rural and remote regions.

Furthermore, fostering a culture of collaboration and consensus-building among disputing parties is essential for cultivating trust and confidence in ADR mechanisms. Mediation and arbitration thrive on the principles of cooperation, communication, and mutual respect. Legal practitioners, mediators, and arbitrators play a pivotal role in facilitating constructive dialogue, promoting understanding, and guiding parties towards mutually beneficial resolutions. By fostering a collaborative ethos within the ADR community, India can enhance the success rates of mediation and arbitration proceedings and foster a culture of dispute resolution that prioritizes consensus over conflict.

Additionally, public-private partnerships (PPPs) offer a valuable mechanism for leveraging resources and expertise from both sectors to advance ADR initiatives. Government bodies can collaborate with private enterprises to develop innovative ADR solutions, such as online dispute resolution platforms or mobile mediation clinics, to expand access to justice and resolve disputes efficiently. Similarly, civil society organizations can partner with legal institutions to provide pro bono legal services, community-based mediation programs, and awareness campaigns to empower marginalized communities and promote social justice.

Collaboration also extends to international partnerships and knowledge exchange initiatives, where India can learn from best practices and experiences of other countries in advancing ADR mechanisms. Engaging with international organizations, participating in cross-border arbitration conferences, and fostering academic exchanges can enrich India's understanding of global trends and innovations in ADR, allowing it to adapt and implement strategies tailored to its unique socio-

cultural context.

In conclusion, fostering collaboration among stakeholders is indispensable in navigating the complexities of India's ADR landscape and realizing the full potential of ADR mechanisms. By harnessing the collective efforts, expertise, and resources of government bodies, legal institutions, civil society organizations, and private enterprises, India can overcome challenges, capitalize on opportunities, and foster a culture of collaboration and consensus-building that promotes fairness, efficiency, and inclusivity in dispute resolution. Through collaborative endeavors, India can chart a path towards a more equitable and harmonious society, where access to justice is accessible to all.

Recommendations for Policy and Practice

Drawing on the findings of the research, the report offers a set of recommendations for policymakers, legal practitioners, and civil society actors. These recommendations include strengthening the legal framework for ADR, investing in capacity building and training initiatives, raising public awareness about ADR mechanisms, and promoting institutional reforms and collaboration. By implementing these recommendations, India can further harness the potential of ADR to enhance access to justice and promote a culture of peaceful dispute resolution.

In conclusion, this research report provides a comprehensive analysis of alternative dispute resolution mechanisms in India, highlighting their significance, effectiveness, and challenges. Through empirical data, case studies, and comparative analysis, the report offers valuable insights into the utilization and evolution of ADR in different contexts. By addressing the identified challenges and implementing the recommended reforms, India can strengthen its dispute resolution infrastructure and ensure timely and effective justice delivery for all its citizens.

This research report serves as a valuable resource for policymakers, legal practitioners, researchers, and civil society organizations interested in promoting access to justice and fostering peaceful dispute resolution in India.

This research report offers a comprehensive exploration of alternative dispute resolution mechanisms in India, drawing on empirical data, case studies, and doctrinal analysis to provide valuable insights into their effectiveness, challenges, and impact on the legal landscape. It

addresses key ADR methods such as mediation, arbitration, and Lok Adalats, while also examining other forms of dispute resolution. Through its thorough examination and recommendations for policy and practice, the report aims to contribute to the advancement of ADR in India and the promotion of access to justice for all.

THIS STUDY reveals that, among the ADR mechanisms ad hoc arbitration has not been a success. At the same time, other methods such as mediation and Lok Adalats are developing on the right track and are achieving their objectives to a great extent, after they were institutionalized.296 It is also seen that various statutory interventions made with the objective of laying down legal framework for ADR in India, have had no impact in resolving disputes amicably. It is also found that alternative dispute resolution mechanisms, if properly manned could become very effective in resolving disputes of any kind, ranging from commercial to family disputes or from traffic offences to intellectual property disputes.

Towards the end making ADR more effective in India, it is proposed that a national policy of ADR may be formulated giving a broad framework of modes of dispute resolution through alternative means with the objective of effective settlement of disputes of both domestic as well as international character. This would also help us to develop a dispute resolution culture conducive to the acceptance and development of the philosophy underlying ADR.

Recommendations

The success of mediation centres and Lok Adalats signals that there is need for setting up institutional ADR mechanism in India. Establishment of institution/s with sufficient statutory backup, supplemented by the generation of a dispute resolution culture among the masses, India could be made the hub of institutional dispute resolution in the world. To achieve the same concrete and active steps may be taken at the following levels.

1 Collection and tabulation of statistical data regarding ADR and courts: Various stakeholders shall also be taken for properly recording and tabulating data regarding various modes of dispute resolution in India.300

Recommendation 3: Building infrastructure/ creation of a pool of professionals *etc*.

- Establishment of a separate Bar/Registry for mediators/arbitrators: A separate Bar/Registry
 may be formed for mediators and arbitrators in the model of the Bar Council of India and
 Bar Associations for lawyers.
- 2. Standards of conduct and rules of professional ethics: The most important challenge pointed

out by the sample groups during the empirical study is the lack of accountability of the arbitrators and transparency in the arbitration process.301 Framing of an internationally accepted code of conduct for arbitrators and others would be really of great help in ensuring quality.302 In India so far we have not been able to set up a separate panel of trained arbitrators or mediators. A separate panel of experts so constituted may be helpful in cultivating a good ADR culture in India.

- 3. Decentralized body of practitioners: Instead of building ADR infrastructure and pool of trained professionals with expertise in the major cities, efforts may be made to develop them throughout India.
- 4. Establishment of Institutional ADRs in India: It is seen that in India institutional mechanisms of ADR, work more effectively than ADR on ad hoc basis. So institutions may be developed with supervisory roles that could also coordinate the efforts of streamlining ADRs in India.

300 One of the greatest challenges for the completion of this study was non-availability of data since they were either not properly recorded or monitored. The benefit of such a data bank is that the trends could be easily studied, so that streamlining could also be done in a comparatively easier manner.

301 For details, rrefer to chapter 4.

302 Supra chapter 2. This would definitely encourage more and more parties coming for settling their trade disputes and it can act as the venue for even foreign arbitrations that may be encouraged to take place in India.

- 1. Publication of information in various languages: It is also important that all documents and information are published in all the major Indian and foreign languages by the various stakeholders and take a proactive step in reaching different parts of the globe through print media as well as through its web site.
- 2. Working arrangements with international organizations: The aforesaid institution and other stakeholders could have working arrangement with similar organizations in other countries/international agencies dealing with ADR so that in future ADR may develop as an effective method of dispute resolution.
- 3. Use of Information Technology: The modern avenues of information technology shall be made use of in the following manner:
 - Developing a separate digital network: Dispute resolution need not be done always in a face-to-face interaction across the table. A dispute could be resolved if there is an effective communication between the parties as well as between the

parties and the arbitrators or mediators. It is also necessary that the authenticity of the communication is legally verifiable. In fact the judiciary in India303has recognized taking evidence through videoconferencing. A strong and confidential web based network may be developed, secured by cryptographic algorithms.304

 Official website: Nowadays an official website is not a window to the organization to which it belongs but it is the main door. The important factor is that this door could be opened from any where in the world by

303 See the judgements of the Supreme Court of India in State of Maharashtra v. Dr. Praful B. Desai (AIR 2003 SC 2053) and the High Court of Andhra Pradesh in Bodala Murali Krishana v. Smt. Bodala Prathima (AIR 2007 A.P)

304 Once such a network is developed, the distance and place becomes absolutely irrelevant for the purpose of dispute resolution. The parties and the arbitrator need to have a system with a strong audio and video recording devices connected to the Internet. A separate online account can be created with separate username and password for parties and arbitrators. Once they access the online content of their dispute it could be downloaded, or printed in the normal way. Parties and arbitrators could also transmit documents that are electronically signed. The benefit of such a network is that the cost of dispute resolution could be reduced to a great extent. It may also help speedy disposal.

any one. The various stakeholders shall design their websites, with all the details and information in various Indian and foreign languages.

 Online Dispute Resolution techniques: Efforts shall be made to develop secure online platforms for resolving disputes of all nature but commercial disputes in particular.305

Recommendation 4: Creation of dispute resolution culture in India

- Development of a dispute resolution culture from school level onwards: Efforts may be made
 for the development of a dispute resolution culture from school level onwards. The need and
 methods for peaceful resolution of dispute may be made a part of the formal education
 curriculum.
- 2. Promote public awareness with the help of voluntary organizations, educational institutions and others: More seminars, workshops, conferences, training programmes, 306 refresher programmes, publications, etc. may be organized so that a dispute resolution culture is

- generated in the country from grass root to policy making level.
- 3. Community mediation: The benefits of community mediation are that they resolve disputes in an informal and amicable way without affecting the relationship between the parties.307 Most of these community mediation mechanisms have very strong social sanctions against the violation of their rules.308 Hence, community mediation, which peacefully resolves disputes, may be encouraged.

305 Online Dispute Resolution techniques can be more effectively used in resolving commercial disputes than other kinds of disputes. There are limitations in using online platforms of dispute resolution for criminal cases, disputes involving immovable property etc.

306 With strong curriculum drafted with the objectives in mind.

307For example, the Auto Rickshaw courts prevalent at Kannur District in Kerala. Under this system the passengers can take their complaints against the auto drivers to the informal courts established by the Auto Drivers Coordination Committee. If complaints are found to be genuine, the court punishes the errant driver. The court is an offshoot of the set up to protect the interest of the passengers as well as the auto drivers. The committee consisting of trade unions affiliated to both the ruling and opposition parties had been engaged in settling the disputes between the drivers and passengers for the last two years. The 'Auto Court' has no judicial powers. Yet, the auto drivers as well as the passengers accept its verdicts. The result is that there is a steep fall in the number of complaints involving auto rickshaws, except those concerning accidents.

308 In Africa, community mediation is quiet frequently resorted to by the litigants. The system of dispute resolution is not altogether a concept new to our business world.

Recommendation 5: Addressing the root causes for court delay and case backlog

1. This study shows that ADR has great potential to reduce court delay and case backlog in India. It also indicates that ADR has certain limitations in this regard. Given the dimensions of the challenge of court delays and case backlog in India, it is therefore crucial to address their root causes, e.g. inefficient case management, lack of inadequate information technology systems, inadequate filters for influx of certain types of cases, inadequate enforcement capacity, inadequate human resources etc. These root causes will have to be identified and analyzed by continuous studies.

Recommendation 6: Keeping pace with the challenges

- 1. Legislative/policy initiative by the Government in the form of amendments/ new legislation etc.
- 2. Judicial consensus: In the light of the aim and objectives of ADR, the judiciary should arrive at a consensus on the circumstances under which a judicial intervention is required.

Recommendation 2: More empirical, doctrinal and comparative research

Research on ADR: More empirical and comparative doctrinal research298 may be taken up by various stakeholders. This would help formulation of strategies and policies at national as well as at regional levels.

